# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## FORM 8-K

CURRENT REPORT Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): December 12, 2019

# **REVOLUTION LIGHTING TECHNOLOGIES, INC.**

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction of incorporation) 000-23590 (Commission File Number)

177 Broad Street, Stamford, Connecticut (Address of principal executive offices) 59-3046866 (IRS Employer Identification No.)

> 06901 (Zip Code)

Registrant's telephone number, including area code: (203) 504-1111

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

□ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

□ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

	Trading	Name of each exchange
Title of each class	Symbol(s)	on which registered
Common Stock	RVLT	Nasdaq Capital Market

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934(§240.12b-2 of this chapter).

Emerging growth company  $\Box$ 

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.  $\Box$ 

#### Item 3.01 Notice of Delisting or Failure to Satisfy a Continued Listing Rule or Standard; Transfer of Listing.

As disclosed by Revolution Lighting Technologies, Inc. (the "Company") in its Current Report on Form8-K filed with the Securities and Exchange Commission ("SEC") on October 10, 2019, the Company previously received a notification letter from the Nasdaq Hearings Panel (the "Panel"), informing the Company that the Panel has determined to delist the Company's common stock from The Nasdaq Stock Market ("Nasdaq"). Trading in the Company's common stock on the Nasdaq was suspended effective at the open of business on October 14, 2019. Since the suspension, the Company's shares have been quoted on the OTC Pink Market.

As disclosed in its prior filings with the SEC, the Company has been unable to file its Quarterly Reports on Form10-Q for the quarters ended September 30, 2018, March 31, 2019, June 30, 2019 and September 30, 2019 and its Annual Report on Form 10-K for the year ended December 31, 2018 as required by Nasdaq Listing Rule 5250(c)(1), and is not in compliance with Nasdaq Listing Rule 5550(a)(2), which requires listed companies to maintain a minimum bid price of at least \$1.00 per share.

On December 12, 2019, the Company received an email from Nasdaq notifying the Company that on December 16, 2019 Nasdaq intends to issue a press release announcing that it will delist the Company's common stock and file a Form 25 with the SEC to complete the delisting. The delisting will become effective ten days after the Form 25 is filed.

### SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: December 16, 2019

### **REVOLUTION LIGHTING TECHNOLOGIES, INC.**

By: /s/ Robert V. LaPenta

Robert V. LaPenta, Sr. Chief Executive Officer and President