## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

| OMB APPROVAL             |           |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|
| DMB Number:              | 3235-0287 |  |  |  |  |  |
| Estimated average burden |           |  |  |  |  |  |
| ours per respon          | se 0.5    |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| (Print or Type Respo  | nses)   |   |  |       |               |                          |              |  |  |  |  |  |   |  |  |
|---|---|---|--|-------|---------------|--------------------------|--------------|--|--|--|--|--|---|--|--|
| Name and Addres ZEITCHICK MA  | 2. Issuer Name <b>and</b> Ticker or Trading Symbol LADENBURG THALMANN FINANCIAL SERVICES INC. [LTS] |   |  |       |               |                          |              | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)  X Director X Officer (give title below)  Other (specify below) |  |  |  |  |   |  |  |
| 4400 BISCAYNE<br>FLOOR  | 3. Date of Earliest Transaction (Month/Day/Year) 01/20/2018   |   |  |       |               |                          |              |  | Execut   | ive Vice I   | President  |  |   |  |  |
| (Street) MIAMI, FL 33137  |   |   | 4. If Amendment, Date Original Filed(Month/Day/Year) |       |               |                          |              |  |  | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting PersonForm filed by More than One Reporting Person |  |  |   |  |  |
| (City)  | (State)   | (Zip)                                       | Table I - Non-Derivative Securities Acqu             |       |               |                          |              |  | ired, Disposed of, or Beneficially Owned             |  |  |  |   |  |  |
| 1.Title of Security (Instr. 3)  |   | 2. Transaction<br>Date<br>(Month/Day/Year)  | 2A. Deemed<br>Execution Date<br>any<br>(Month/Day/Y  |       | Code<br>(Inst |                          | ction        | (A) or l<br>(D)  | Disposed of Benefic Reporte (Instr. 3)  (A) or       |  | Beneficia<br>Reported  | mount of Securities<br>ficially Owned Following<br>orted Transaction(s)<br>:. 3 and 4) |   | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial<br>Ownership  |
| Common Stock  |   | 01/20/2018                                  |  |       | ]             | F                        |              | 19,392   |  | \$<br>3.13   | 815,698  |  |   | D  |  |
| Common Stock  |   |   |  |       |               |                          |              |  |  |  | 2,730,28   | 2,730,283 (1)  |   | I  | Held by<br>the Mark<br>D.<br>Zeitchick<br>2016<br>Revocable<br>Trust (2) |
| 8.00% Series A C<br>Redeemable Prefe  |   |   |  |       |               |                          |              |  |  |  | 4,000 (3   | )  |   | I  | Held by<br>the Mark<br>D.<br>Zeitchick<br>2016<br>Revocable<br>Trust (2) |
| Reminder: Report or indirectly.   | n a separate line t   | for each class of secu                      | urities beneficial                                   | lly o | wned          |                          | Pers         | ons wh<br>ained i  | n this f   | orm ar   | e not req  | ection of inf<br>juired to res<br>d OMB cont   | spond ur  | nless  | SEC 1474 (9-<br>02)  |
|   |   |   | Derivative Secu                                      |       |               | _                        |              | _  |  |  | -  | i  |   |  |  |
| 1. Title of Derivative Security (Instr. 3)  Conversi or Exerc Price of Derivativ Security | ise (Month/Day  | on 3A. Deemed<br>Execution Da<br>(Year) any | 4.   | ion   |               | rative rities ired rosed | s I          |  | ercisable 7. 7<br>tion Date Am<br>y/Year) Un-<br>Sec |  | Title and nount of iderlying curities str. 3 and Service of 9. Numb Derivative Security (Instr. 5) Securities Beneficial Owned Followin Reported Transact (Instr. 4) |  | Derivative Securities Beneficial Owned Following Reported | Owne<br>Form<br>Derive<br>Secur<br>Direct<br>or Ind      | ative Ownershi (Instr. 4)  i (D) irect                                   |
|   |   |   | Code   | v     | (A)           | (D)                      | Date<br>Exer | cisable  | Expirati<br>Date                                     | on Titl  | Amount or Number of Shares   |  |   |  |  |

### **Reporting Owners**

| Paradia Omas Nasa (Allana |  | Relationships |           |                          |       |  |  |  |
|---------------------------|--|---------------|-----------|--------------------------|-------|--|--|--|
|                           | Reporting Owner Name / Address   | Director      | 10% Owner | Officer                  | Other |  |  |  |
|                           | ZEITCHICK MARK<br>4400 BISCAYNE BOULEVARD<br>12TH FLOOR<br>MIAMI, FL 33137 | X             |           | Executive Vice President |       |  |  |  |

### **Signatures**

| /s/ Mark Zeitchick            | 01/23/2018 |
|-------------------------------|------------|
| Signature of Reporting Person | Date       |

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes the transfer of 1,514,211 shares previously held by MZ Trading LLC to the Mark D. Zeitchick 2016 Revocable Trust.
- (2) The reporting person is the trustee of the above-listed trust.
- (3) The 8.00% Series A Cumulative Redeemable Preferred Stock is convertible into common stock at a non-fixed conversion rate in connection with a change of control as described in the issuer's Current Report on Form 8-K, filed with the Securities and Exchange Commission on May 24, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.